

Please type a plus sign inside this box -->



PTO/SB/21 (12/97)

Approved for use through 09/30/00 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

		Application Number	09/409,163	
		Filing Date	09/30/1999	
		First Named Inventor	GAO, ET AL.	
		Group Art Unit	2743	
		Examiner Name	NOT ASSIGNED	
TOTAL NUMBER OF PAGES IN THIS SUBMISSION		9	Attorney Docket Number	037422.P006

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment/Response

After Final

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/Incomplete Application

Response to Missing Parts Under 37 CFR 1.52 or 1.53

Assignment Papers

Drawings

Licensing-related Papers

Petition Routing Slip (PTO/SB/69) & Accompanying Petition

To Convert a Provisional Application

Power of Attorney, Revocation, Change of Correspondence Address

Terminal Disclaimer

Small Entity Statement

Request for Refund

After Allowance Communication Group

Appeal Communication to Board of Appeals & Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Additional Enclosure(s) (please identify below)

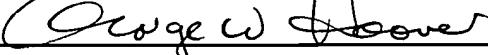
Postcard  
Copy of form PTO-1533

#### Remarks

In the event that an extension of time is required to prevent this application from being abandoned, such extension is hereby requested and authorization is hereby given to charge the appropriate fee to Deposit Account No. 02-2666.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name **BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP**  
George W Hoover, Reg. No 32,992

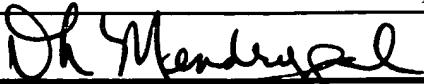
Signature 

Date November 9, 1999

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

November 9, 1999

Typed or Printed Name	D.L. Mendrygal		
Signature		Date	November 9, 1999

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

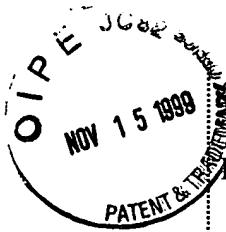
In Re Application of:

GAO, ET AL.

Serial No.: 09/409,163

Filed: 09/30/1999

For: **BAND-LIMITED ADAPTIVE  
FEEDBACK CANCELLER FOR  
HEARING AIDS**



Art Unit: 2743

Examiner: not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Attn: Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, D. C. 20231

Dear Sir:

In response to the communication from the Patent Office mailed August 10, 1999 in connection with the above-referenced application, enclosed herewith are the following:

1. a return copy of Form PTO-1533;
2. a Declaration and Power of Attorney executed by the inventors;
3. a Verified Statement Claiming Small Entity Status – Small Business Concern executed by the Vice President of House Ear Institute;
4. an Assignment to House Ear Institute and Recordation Form Cover Sheet;
5. a check in the amount of \$808.00, which includes the small entity surcharge for filing the Declaration on a date later than the filing date of the application and the basic filing fee; and

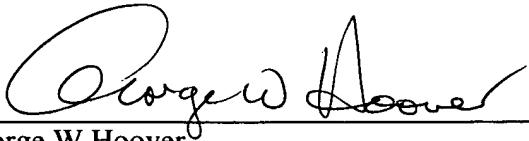
6. a check in the amount of \$40.00 for recordation of the Assignment.

Please charge any additional fees or credit any overpayments to our deposit account no.  
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

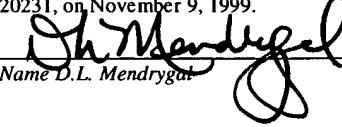
By:

  
George W Hoover  
Reg. No. 32,992

Dated: November 9, 1999

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
(310) 207-3800

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I hereby certify that this correspondence is being deposited with the United  
States Postal Service as first class mail in an envelope addressed to: Attn: Box  
20231, on November 9, 1999.

  
Name D.L. Mendryga

November 9, 1999  
Date

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PATENT &amp; TRADEMARK

NOV 15 1999

## FEE TRANSMITTAL

Note: Effective October 1, 1997  
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$848.00)

Complete If Known	
Application Number	09/409,163
Filing Date	09/30/1999
First Named Inventor	GAO, ET AL.
Group Art Unit	2743
Examiner Name	NOT ASSIGNED
Attorney Docket Number	037422.P006

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:

Acct # 02-2666

Acct Name Blakely Sokoloff Taylor &amp; Zafman LLP

Charge any add'l fee required under 37 CFR 1.18 at the mailing of the Notice of Allowance  
CFR 1.16 & 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## Fee Calculation

## 1. Filing Fee

Large Entity		Small Entity		Fee Paid
Code	Fee (\$)	Code	Fee (\$)	
101	760	201	380	Utility filing fee
106	310	206	155	Design filing fee
107	480	207	240	Plant filing fee
108	760	208	380	Reissue filing fee
114	150	214	75	Provisional filing fee
Subtotal (1)		(\$380.00)		

## 2. Claims

	*	Extra	Fee from Below	Fee Paid
Total Claims	56	20 -	36	X 9
Ind. Claims	4	3 -	1	X 39
Multiple Dependent Claims				

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 & over original patent
Subtotal (2)		(\$363.00)		

## 3. Additional Fees

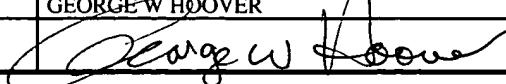
Large Entity Code	Fee (\$)	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	65
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1st month	
116	380	216	190	Extension for reply within 2nd month	
117	870	217	435	Extension for reply within 3rd month	
118	1360	218	680	Extension for reply within 4th month	
128	1850	228	925	Extension for reply within 5th month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to Revive-unavoidable	
141	1210	241	605	Petition to Revive-unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property	40
146	760	246	380	Filing a submission after final rejection	
149	760	249	380	For each add'l invention to be examined	
Other fee (specify)					
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

Subtotal (3) (\$105.00)

## Express Mail Receipt No.:

SUBMITTED BY: BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP

Name	GEORGE W HOOVER	Reg. Number	32,992
Signature		Date	November 9, 1999

COMPLETE (if applicable)

Reg. Number 32,992

Deposit Acct User ID

\*Highest number of claims previously paid for if an amendment is being transmitted.

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